

01-28-02

**UTILITY  
PATENT APPLICATION  
TRANSMITTAL**

J1057 U  
2001/02/10

(Only for new nonprovisional applications under 37 C.F.R. § 1.53(b))

Attorney Docket No.	SP0	Total Pages	2
First Named Inventor or Application Identifier	Chien		
Title	ALL FIBER POLARIZATION MODE DISPERSION COMPENSATOR		
Express Mail Label No.	EL 689102353 US		

**CERTIFICATE OF EXPRESS MAIL UNDER 37 CFR 1.10:**

I certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated below and is Addressed to the Commissioner of Patents and Trademarks, Washington, DC 20231

on 1/25/02  
(Date)Signature JKR/KM

**ADDRESS TO:**  
Assistant Commissioner for Patents  
Box Patent Application  
Washington, DC 20231

JC978 U.S. pro  
10/056961  
1/25/02

"EXPRESS MAIL" Mailing Label No. EL689102353US

1.  \* Fee Transmittal Form  
(Submit an original and a duplicate for fee processing)2.  Specification [Total Pages 36]  
(preferred arrangement set forth below)

- Descriptive title of the Invention
- Cross References to Related Applications
- Statement Regarding Fed sponsored R&D
- Reference to Microfiche Appendix
- Background of the Invention
- Brief Summary of the Invention
- Brief Description of the Drawings (if filed)
- Detailed Description

3.  Drawing(s) (35 U.S.C. § 113) [Total Sheets 16]  
4.  Oath or Declaration [Total Pages 3]

a.  Executed (original or copy)

b.  Copy from a prior application (37 C.F.R. § 1.63(d))  
(for continuation/divisional with Box 16 completed)

i.  **DELETION OF INVENTOR(S)**  
Signed statement attached deleting inventor(s) named in the prior application, see 37 C.F.R. §§ 1.63(d)(2) and 1.33(b).

5.  Microfiche Computer Program (Appendix)6. Nucleotide and/or Amino Acid Sequence Submission  
(if applicable, all necessary)

- a.  Computer Readable Copy
- b.  Paper Copy (identical to computer copy)
- c.  Statement verifying identity of above copies

**ACCOMPANYING APPLICATION PARTS**

7.  Assignment Papers (cover sheet & document(s))

8.  37 C.F.R. § 3.73(b) Statement  Power of Attorney  
(when there is an assignee)

9.  English Translation Document (if applicable)

10.  Information Disclosure Statement (IDS)/PTO-1449  Copies of IDS Citations

11.  Preliminary Amendment

12.  Return Receipt Postcard (MPEP 503)  
(should be specifically itemized)

13.  Certified Copy of Priority Document(s)  Other:  
(if foreign priority is claimed)

## 16. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment:

 Continuation  Divisional.  Continuation-in-part (CIP) of prior application No

Prior application information: Examiner: Group / Art Unit:

For CONTINUATION or DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 4b, is considered as being part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

**17. CORRESPONDENCE ADDRESS** Customer Number or Bar Code Label 22928 or  Correspondence address below

NAME	Timothy Krogh				
ADDRESS	Corning Incorporated, SP-TI-3-1				
CITY	Corning	STATE	NY	ZIP CODE	14831
COUNTRY	USA	TELEPHONE	2743	FAX	(607) 974-3848
Name (Print/Type)	Timothy Krogh		Registration No. (Attorney/Agent)	40688	
Signature	<u>JKR/KM</u>		Date	1/24/02	

# FEE TRANSMISSION for FY 2001

Complete if Known	
Application Number	To Be Assigned
Filing Date	Herewith
First Named Inventor	Chien, Ching-Kee
Examiner Name	To Be Assigned
Group / Art Unit	To Be Assigned

**TOTAL AMOUNT OF PAYMENT (\$)** **794.00**

## METHOD OF PAYMENT (check one)

1.  The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit Account Number **03-3325**

Deposit Account Name **Corning Incorporated**

Charge Any Additional Fees Required Under 37 C.F.R. §§ 1.16 and 1.17

2.  Payment Enclosed:

Check  Money Order  Other

## FEE CALCULATION

### 1. BASIC FILING FEE

Large Entity	Fee Code	Fee (\$)	Fee Description	Fee Paid
	101	740	Utility filing fee	<u>740.00</u>
	106	330	Design filing fee	
	107	510	Plant filing fee	
	108	740	Reissue filing fee	
	114	160	Provisional filing fee	
	<b>SUBTOTAL (1)</b>			<b>(\$)</b> <u>740.00</u>

### 2. EXTRA CLAIM FEES

		Extra Fee from Claims below	Fee Paid
Total Claims	23 - 20** = 3	3 x 18 =	54.00
Independent Claims	3 - 3** = 0	x 84 =	00.00

Multiple Dependent 0 = 0.00

\*\*or number previously paid, if greater; For Reissues, see below

Large Entity	Fee Code	Fee (\$)	Fee Description
	103	18	Claims in excess of 20
	102	84	Independent claims in excess of 3
	104	280	Multiple dependent claim, if not paid
	109	84	** Reissue independent claims over original patent
	110	18	** Reissue claims in excess of 20 and over original patent
	<b>SUBTOTAL (2)</b>		<b>(\$)</b> <u>54.00</u>

FEE CALCULATION (continued)			
3. ADDITIONAL FEES			
Large Entity	Fee Code	Fee (\$)	Fee Description
	105	130	Surcharge - late filing fee or oath
	127	50	Surcharge - late provisional filing fee or cover sheet
	139	130	Non-English specification
	147	2,520	For filing a request for reexamination
	112	920*	Requesting publication of SIR prior to Examiner action
	113	1,840	Requesting publication of SIR after Examiner action
	115	110	Extension for reply within first month
	116	390	Extension for reply within second month
	117	890	Extension for reply within third month
	118	1,390	Extension for reply within fourth month
	128	1,890	Extension for reply within fifth month
	119	310	Notice of Appeal
	120	310	Filing a brief in support of an appeal
	121	270	Request for oral hearing
	138	1,510	Petition to institute a public use proceeding
	140	110	Petition to revive - unavoidable
	141	1,240	Petition to revive - unintentional
	142	1,240	Utility issue fee (or reissue)
	143	440	Design issue fee
	144	600	Plant issue fee
	122	130	Petitions to the Commissioner
	123	50	Petitions related to provisional applications
	126	240	Submission of Information Disclosure Stmt
	581	40	Recording each patent assignment per property (times number of properties) _____ x
	146	710	Filing a submission after final rejection (37 C.F.R. § 1.129(a))
	149	710	For each additional invention to be examined (37 C.F.R. § 1.129(b))
	Other fee (specify) _____		
	Other fee (specify) _____		
	*Reduced by Basic Filing Fee Paid		
	<b>SUBTOTAL (3)</b>		<b>(\$)</b>

SUBMITTED BY		Completed (if applicable)	
Name (Print/Type)	Timothy Krogh	Registration No. (Attorney/Agent)	40688
Signature	<i>TK</i>	Date	1/24/02